Practitioner's Docket No.: 789 119 PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Yukihisa TAKEUCHI, Tsutomu NANATAKI, Iwao OHWADA

and Nobuyuki KOKUNE

Filed: Concurrently Herewith

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Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 31, 2003 under "EXPRESS MAIL" mailing label number EL 975170161 US

Claude (). Elizabe)h A. VanAntwerp

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of References AA through AS listed on Form PTO-1449 are attached. In accordance with the Notice dated July 11, 2003 on the PTO website, we are not enclosing copies of any of the U.S. references listed on Form PTO-1449.

In compliance with the concise explanation requirement under 37 CFR § 1.98(a)(3) for foreign language documents, References AA-AE and AP-AR are discussed on pages 1 and 2 of the present specification.

Applicant respectfully submits that Reference AL corresponds to U.S. '802, Reference AN corresponds to Reference AM, and Reference AO corresponds to U.S. '827.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

October 31, 2003

Date

Stephen P. Burr

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SPB/eav

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Yukihisa TAKEUCHI	_		
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				Confirmation No.				
Sheet	1	of	2	Attorney Docket No.	789_119			

## **U.S. PATENT DOCUMENTS**

Exam. Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
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Examiner:	Date Considered:	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449					Complete if Known			
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#### **U.S. PATENT DOCUMENTS**

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# U.S. COPENDING APPLICATIONS

Exam. Initial	Application/ Publication Number	Filing/ Publication Date	Inventor Name	Our Docket No.	Class	Sub Class
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# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

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AQ	Puchkarev, Victor F. and Mesyats, Gennady A., "On the Mechanism of Emission from the Ferroelectric Ceramic Cathode," Journal of Applied Physics, Vol. 78, No. 9, 1 November 1995, pp. 5633-5637.
AR	Riege, H., "Electron Emission from Ferroelectrics - a Review," Nucl. Instr. and Meth. A340, 1994, pp. 80-89.
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